



DAVID Y. IGE  
GOVERNOR

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**STATE OF HAWAII**  
**DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS**  
**BUSINESS REGISTRATION DIVISION**

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**BUSINESS REGISTRATION DIVISION INFORMATION RELEASE**

**SUBJECT:** Temporary Reduction in Securities, Franchise, Trade Name, Trademark, and Service Mark Filing Fees

The Business Registration Division of the Department of Commerce and Consumer Affairs announces that starting January 1, 2020, certain filing fees for securities, franchises, and trade names, trademarks and service marks will be temporarily reduced by 50% from the statutory fee for a period of one year. The filing fees will automatically readjust to the statutory fee on January 1, 2021.

The following is the list of the filings affected and the new filing fees:

	<b>STATUTORY DCCA FEE</b>	<b>JAN. 1, 2020- DEC. 31, 2020 REDUCED FEE</b>	<b>JAN. 1, 2021 AND THERE- AFTER</b>
Broker-Dealer Application	\$200.00	\$100.00	\$200.00
Broker-Dealer Renewal	\$200.00	\$100.00	\$200.00
Investment Advisor Application	\$100.00	\$50.00	\$100.00
Investment Advisor Renewal	\$100.00	\$50.00	\$100.00
Salesperson Application	\$50.00	\$25.00	\$50.00
Salesperson Renewal	\$50.00	\$25.00	\$50.00
IA Representative Application	\$50.00	\$25.00	\$50.00
IA Representative Renewal	\$50.00	\$25.00	\$50.00
Investment Co. Securities:			
Initial Filing	\$200.00	\$100.00	\$200.00
Renewal Filing	\$50.00	\$25.00	\$50.00
Franchise:			
Initial	\$250.00	\$125.00	\$250.00
Amendment	\$250.00	\$125.00	\$250.00
Renewal	\$250.00	\$125.00	\$250.00
Trade Name, Trademark, Service Mark	\$50.00	\$25.00	\$50.00

For additional information, please visit [investing.hawaii.gov](http://investing.hawaii.gov) for securities-related filings; [businessregistrations.com](http://businessregistrations.com) for business registration filings; or for further assistance, please call the Business Registration Division at (808) 586-2744.