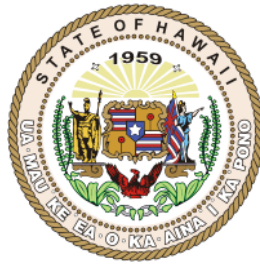


Need an Exhibitor?

- We can provide investor education materials and giveaways relevant to the group/audience type (e.g. senior & retiree clubs, students, working adults).
- Materials cover topics such as investor education, fraud prevention and financial literacy.



For more information or to schedule a presentation or community exhibit, call (808)587-7553 or email gakapo@dcca.hawaii.gov



Contact Information

Office Hours: 7:45 AM - 4:30 PM Hawaii Standard Time (HST). The office is open Monday–Friday except on State holidays.

Office Location:

DCCA – Office of the Securities Commissioner
King Kalakaua Building
335 Merchant Street, Rm. 203
Honolulu, HI 96813

Office Mailing Address:

DCCA - Office of the Securities Commissioner
P.O. Box 40
Honolulu, HI 96810

Telephone:

(808) 586-2722 (Securities Compliance Branch)
(808) 586-2740 (Securities Enforcement Branch)
(808) 587-7400 (Investor Education Program)

Fax: (808) 586-3977

Email: seb@dcca.hawaii.gov

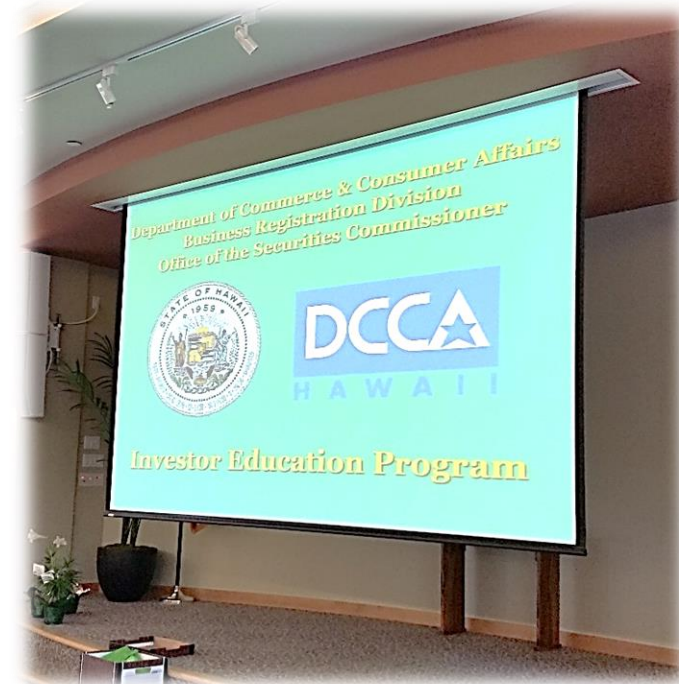
Website: investing.hawaii.gov



HI Securities

**To report investment fraud,
call (808) 587-2267 or
Toll Free 1-877-447-2267**

INVESTOR EDUCATION: **PRESENTATIONS**



Department of Commerce and Consumer Affairs

**Office of the Securities
Commissioner**

The Investor Education Program provides FREE presentations, materials and community exhibits.

Need a Guest Speaker?

- We have various topics to choose from
- We will bring our own computer equipment
- We provide supplemental materials and handouts
- Presentations will be done by a member of our professional staff
- Presentations are 30-40 minutes and include materials.

Here are the seven presentations we currently offer:

1. Who We Are

This presentation is an introduction to the Department of Commerce and Consumer Affairs, Office of the Securities Commissioner. Learn about our office, investment fraud protection tips, how to report investment fraud, where to get free investor protection materials, and more!

2. How To Spot A Con Artist

If something sounds too good to be true, it probably is. This presentation will cover threats to investors, ways you can spot a con artist, ways to protect yourself from becoming a victim, and how the DCCA Office of the Securities Commissioner can help you.

3. Protect Yourself & Your Money From Predatory Tactics

Con artists will say or do anything to take your hard earned money away from you. This presentation will cover examples of predatory tactics, how to recognize these tactics, and how the DCCA Office of the Securities Commissioner can help you.

4. Understanding Variable Annuities

Variable annuities can be complex – with different types, fees, risk factors, rate of return, etc. This presentation will cover the basics, things to be aware of, and how the DCCA Office of the Securities Commissioner can help you.

5. Avoid Being A Victim

Investment fraud comes in many shapes and forms. This presentation will cover various threats to Hawaii investors. From unregistered investment products and

unregistered agents, misused credentials and designations, to greedy sales practices and Ponzi Schemes. Learn more about how you can avoid becoming a victim of investment fraud and how the DCCA Office of the Securities Commissioner can help you.

6. The Ponzi Scheme

One of the most common types of investment fraud today is the Ponzi Scheme. This presentation will cover what a Ponzi Scheme is, how it works, the losses that investors may incur, red flags to watch for, where to report Ponzi Schemes and other types of investment fraud and how the DCCA Office of the Securities Commissioner can help.

7. Affinity Fraud

Affinity Fraud can happen to anyone, at any time. Affinity fraud occurs when con artists tap into community groups, family ties, clubs or other “affinities” to create a false sense of trust to lure victims into investing. This presentation will cover what Affinity Fraud is, the approach and possible targets of this type of fraud, ways to avoid the fraud, and how the DCCA Office of the Securities Commissioner can help.