



**STATE OF HAWAII**  
**DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS**  
**REGULATED INDUSTRIES COMPLAINTS OFFICE**  
**CONSUMER RESOURCE CENTER**  
 OAHU OFFICE  
 235 SOUTH BERETANIA STREET, 9TH FLOOR  
 HONOLULU, HI 96813  
 cca.hawaii.gov/rico

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FOR OFFICIAL USE ONLY

## COMPLAINT FORM – REAL ESTATE

**Important information about filing a complaint.** RICO's jurisdiction is limited to violations of Hawaii's licensing laws and rules. Violations vary depending on the license type involved. As part of the review and investigation process, the company or individual you are complaining about may be informed of this matter and provided information about your complaint. Additional information about the industries RICO regulates, applicable licensing laws and rules, and a list of Frequently Asked Questions is available on the RICO website, as well as a printable version of this and other RICO complaint forms. *When completing this form, please print legibly or type.*

### COMPLAINANT INFORMATION (Your information)

<input type="checkbox"/> Dr. <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs.	(Last Name)	(First Name)	(Middle Name)
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I am filing this complaint on behalf of a  business or as an  individual.

Business name: \_\_\_\_\_

Mailing address:	Telephone numbers: (✓ check best number to reach you at)
	<input type="checkbox"/> Daytime phone: (     )
	<input type="checkbox"/> Residence phone: (     )
Email:	<input type="checkbox"/> Cellular phone: (     )

**Complete this section if someone is representing you or if you are filing this complaint on behalf of someone else:**

Representative to contact, if other than complainant	Address	Phone No.
Your relationship to the complainant: _____		

Signature of COMPLAINANT authorizing RICO to work with REPRESENTATIVE: \_\_\_\_\_

Explain here if complainant is unable to sign: \_\_\_\_\_



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Please attach **COPIES** of any documents you may have about your dispute, checking any of the boxes that apply. **Do not** submit originals; we are unable to return attachments to you.

- Advertisements (flyers, brochures, newspaper or internet ads)
  - Business cards
  - Copies of correspondence (letters, emails, notes)
  - Listing agreement
  - Rental or lease agreement
  - Rental management agreement
  - Purchase contract (Deposit, Receipt, Offer, Acceptance [DROA])
  - Purchase contract (or DROA) addendums
  - Seller's Disclosure Statement
  - Escrow documents
  - Proof of any payments made (receipts, cancelled checks [*front and back*], credit card receipts)
  - Receipts
  - Photos
  - Other (please list) \_\_\_\_\_
- Check here if no attachments are included

### ADDITIONAL QUESTIONS FOR TIME SHARE SALES

At the time of purchase did you receive disclosures about your time share purchase?  Yes  No If yes, please attach copies of any information received.

### DID YOU ATTEMPT TO RESOLVE YOUR DISPUTE?

If your dispute involves a licensed business or individual, RICO recommends that you attempt to resolve your dispute with the licensee before filing a formal complaint. Please note unlicensed companies and individuals are not authorized to perform work that requires a license, therefore, RICO cannot recommend resolution of unlicensed complaints that involve additional or corrective work.

Did you contact the respondent to try and resolve your dispute?  Yes  No Please explain any attempts you made to try to resolve the dispute. Indicate if you did not receive a response or you were otherwise unable to contact the business or individual your dispute is about.

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Have you reported your complaint to any other law enforcement or government agency?  Yes  No If yes, please provide the name of the agency, the approximate date when you filed your report or complaint, and any report or complaint numbers.

Have you filed a lawsuit or other legal action (for example, mediation or arbitration) related to your dispute?  Yes  No If yes, please provide the name of the court, the case number, and attach copies of any relevant documents including any judgments or orders issued in the case.

## ADDITIONAL QUESTIONS

**Office of Consumer Protection.** The Department of Commerce and Consumer Affairs' Office of Consumer Protection (OCP) reviews unfair and deceptive trade practices in consumer transactions. If upon review RICO believes a referral to OCP is appropriate, do you consent to have your complaint sent to that office for review?  Yes  No (Please note OCP complaints are public and your complaint and any information you provide will be made available to requesters.)

**If we are able to assist, what would your desired resolution be?** Although our primary role is to enforce regulatory laws and rules, sometimes we are able to achieve some sort of resolution on the part of complaining parties. ***IF*** we are able to assist, what would your desired resolution be? (Again, as a government agency, RICO represents the State of Hawaii as a whole. We do not represent you in your dispute and strongly advise all consumers immediately explore any civil remedies they may have.)

## CERTIFICATION

RICO requires complainants complete, sign, and certify below. We can assist you if you are unable to sign or otherwise complete this form. Knowingly submitting false or untrue information may constitute a violation of Hawaii Revised Statutes §710-1063.

I certify that all statements and attachments provided to RICO as part of this complaint are true and correct to the best of my knowledge.

I understand investigation and prosecution is at the discretion of the agency and that RICO does not represent me in this dispute.

**Complainant's signature:**

**Date:**

Print name here:

check here if signing as representative



Mail completed complaint forms to:  
Regulated Industries Complaints Office  
Attention: Consumer Resource Center  
235 South Beretania Street, 9th Floor  
Honolulu, Hawaii 96813

Complaint forms are accepted at neighbor island RICO offices for mailing.

This material is available in alternate formats including large print.  
For assistance, please contact the RICO Complaints and Enforcement Officer at 586-2666.