

QUARTERLY REPORT ON ACTIONS
AGAINST UNLICENSED ACTIVITY
(April 2017 – JUNE 2017)

The Regulated Industries Complaints Office (RICO) is a statewide agency of the Department of Commerce and Consumer Affairs. It is the enforcement arm for the various professions and vocations that are licensed in the State. RICO investigates allegations of professional misconduct by licensees and also investigates possible unlicensed activity that may be occurring in the State. The following is a list of recent unlicensed activity actions. Additional information, including information about disciplinary actions taken against licensees, is available at cca.hawaii.gov/rico.

<u>DEFENDANT</u>	<u>SANCTION</u>	<u>DATE</u>
Kelly V.X. Le	\$500.00 administrative assessment and assurance of voluntary compliance	04-3-17
Respondent entered into an Assurance of Voluntary Compliance agreeing not to act as a beauty operator or otherwise provide cosmetology as defined under HRS §439-1, for commercial purposes, without possessing the appropriate license or permit		
Lucas M. Aholelei	\$500.00 administrative assessment and assurance of voluntary compliance	04-6-17
Respondent entered into an Assurance of Voluntary Compliance agreeing not to offer or furnish services for which a license is required under HRS Chapter 463 without such license.		
Samuel S. Tupou	\$500.00 administrative assessment and assurance of voluntary compliance	04-6-17
Respondent entered into an Assurance of Voluntary Compliance agreeing not to offer or furnish services for which a license is required under HRS Chapter 463 without such license.		
Greywolf Automotive, LLC	\$500.00 administrative assessment and assurance of voluntary compliance	04-7-17
Respondent entered into an Assurance of Voluntary Compliance agreeing not to act as a motor vehicle repair dealer as defined under HRS §437B-1 without possessing the appropriate license.		
Tevita Polota	\$4,500.00 civil penalty, permanent injunction and judgment	04-10-17
The Court ordered Respondent be enjoined from engaging in the business of a contractor until Respondent obtains the appropriate license.		

Woodsmith Installation, Inc. \$10,000.00 civil penalty, permanent 04-11-17
injunction and consent judgment

The Court ordered Respondent be enjoined from engaging in the business of a contractor until Respondent obtains the appropriate license.

Vladimir Sanchez, individually and \$5,400.00 civil penalty, permanent 04-11-17
doing business as Aloha Welding, Inc. injunction and default judgment

The Court ordered Respondent be enjoined from engaging in the business of a contractor until Respondent obtains the appropriate license.

Corine B. Cojocar Assurance of voluntary compliance 04-18-17

Respondent entered into an Assurance of Voluntary Compliance agreeing not to engage in the practice of physical therapy or otherwise offer or furnish services for which a license is required under HRS Chapter 461J without the benefit of such license.

Brazilian Beauty Salon Corporation \$500.00 administrative assessment and 04-24-17
assurance of voluntary compliance

Respondent entered into an Assurance of Voluntary Compliance agreeing not to offer or furnish services for which a license is required under HRS 439 without the benefit of such license.

Executive One Protection, Inc. Permanent injunction and consent 04-27-17
judgment

The Court ordered Respondent be enjoined from engaging in the business of a guard agency until Respondent obtains the appropriate license.

Terry Kernaghan, individually and \$1,000.00 civil fine, permanent 04-27-17
doing business as Terry's Repairs injunction and stipulated judgment

The Court ordered Respondent be enjoined from acting as a contractor or plumber or holding himself out to the public as either, or undertaking any activity for which a license is required under Chapter 444 or 448E until Respondent obtains the appropriate license.

BAM LLC \$5,000.00 administrative assessment and 04-27-17
assurance of voluntary compliance

Respondent entered into an Assurance of Voluntary Compliance agreeing not to operate as a contractor as defined under HRS §444-1 without the appropriate license.

Alesksey Bauman, individually and doing business as Express Auto Sale	\$2,000.00, permanent injunction, and stipulated judgment	05-04-17
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The Court ordered Respondent be enjoined from advertising, offering to do or doing any work for which a license is required under Chapter 437 until Respondent obtains a license pursuant to that chapter.

Danny R. Sena	\$2,500.00 civil penalty, permanent injunction, and default judgment	05-08-17
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The Court ordered Respondent be enjoined from performing or offering to perform any work for which a license is required under Chapters 436B and 444 until Respondent obtains the appropriate license.

Rodrigo Quiton also known as Rod Quiton	\$54,500.00 civil penalty, \$143,942.53 restitution, \$122,236.23 restitution, permanent injunction and default judgment	05-09-17
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The Court ordered Respondent be enjoined from engaging in the business of a contractor until Respondent obtains the appropriate license.

ROI Companies, LLC doing business as ROI Printing	\$5,000.00 civil penalty, permanent injunction and consent judgment	05-10-17
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The Court ordered Respondent be enjoined from engaging in the business of a contractor until Respondent obtains the appropriate license.

Tae Woo Om and Imperial Auto Repair, Inc.	\$1,000.00 civil penalty, permanent injunction and consent judgment	05-11-17
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The Court ordered Respondent be enjoined from engaging in the repair of motor vehicles for compensation without being licensed as a motor vehicle repair mechanic or a motor vehicle repair dealer and until Respondents obtain the appropriate licenses.

Howard Roofing Company, Inc.	\$40,000.00 administrative assessment and assurance of voluntary compliance	05-16-17
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Respondent entered into an Assurance of Voluntary Compliance agreeing not to operate as a contractor as defined under HRS §444-1 without the appropriate license.

Lichter, Yu and Associates, Inc. \$2,000.00 administrative 05-18-17
fine/assessment and assurance of
voluntary compliance

Respondent entered into an Assurance of Voluntary Compliance agreeing not to engage in public accountancy work for which licensure is required under 466 HRS unless and until an appropriate license, and/or permit, or both, have been acquired.

Charles Bernard Flood, IV, also \$1,000.00 civil penalty, permanent 05-23-17
known as C.B. Flood, also known as injunction and consent judgment
Chuck Flood

The Court ordered Respondent be enjoined from engaging in the business of a contractor, electrician and plumber until Respondent obtains the appropriate licenses.

1628 Business, Ltd., dba Eddie Flores \$1,000.00 administrative assessment and 05-26-17
Real Estate Continuing Education assurance of voluntary compliance

Respondent entered into an Assurance of Voluntary Compliance agreeing not to act as a continuing education provider without possessing the appropriate registration.

Derek S. Koki permanent injunction and consent 05-30-17
judgment

The Court ordered Respondent be enjoined from engaging in the business of a contractor Respondent obtains the appropriate license.

Samuel Tillery, individually and doing \$1,000.00 civil penalty, permanent 06-8-17
business as Samuel's Handyman injunction and consent judgment
Services

The Court ordered Respondent be enjoined from engaging in the business of a contractor Respondent obtains the appropriate license.

Francis Kahawaiolaa, individually and \$1,100.00 civil penalty, permanent 06-8-17
doing business as Hair Dezigns By injunction and default judgment
Francis

The Court ordered Respondent be enjoined from offering to do, doing any work or advertising that he can do work for which a license is required under Chapter 439 until Respondent obtains the appropriate license.

Vladimir Sanchez, individually and doing business as Aloha Welding, Inc. \$3,120.00 civil penalty, permanent injunction and default judgment 06-20-17

The Court ordered Respondent be enjoined from engaging in the business of a contractor
Respondent obtains the appropriate license.

Joseph L. Waiki, III \$19,800.00 civil fine, permanent injunction and final judgment 06-27-17

The Court ordered Respondent be enjoined from engaging in the business of a contractor
Respondent obtains the appropriate license.

The Queen's Medical Center \$7,500.00 administrative assessment and assurance of voluntary compliance 06-29-17

Respondent entered into an Assurance of Voluntary Compliance agreeing that in the future it will not act as a massage therapy establishment as defined under HRS §452-1 except as provided under HRS §452-3 and §452-23.