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STATE OF HAWAII BUSINESS REGISTRATION DIVISION DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS

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November 23, 2020

BUSINESS REGISTRATION DIVISION INFORMATION RELEASE

SUBJECT: Updated Business Registration Division Fee Listing

The Business Registration Division of the Department of Commerce and Consumer Affairs announces that as of January 1, 2021, the following filings and their associated fees are as follows, pursuant to HRS §§482-36, 482E-11 and HAR §16-39-103.

	Statutory DCCA Fee
Broker-Dealer Application	\$200.00
Broker-Dealer Renewal	\$200.00
Investment Adviser Application	\$100.00
Investment Adviser Renewal	\$100.00
Sales Agent Application	\$50.00
Sales Agent Renewal	\$50.00
Investment Adviser Representative Application	\$50.00
Investment Adviser Representative Renewal	\$50.00
Investment Company Securities:	
Initial Filing	\$200.00
Renewal Filing	\$50.00
Franchise:	
Initial	\$250.00
Amendment	\$250.00
Renewal	\$250.00
Trade Name, Trademark, Service Mark	\$50.00

For additional information on securities-related filings, please visit investing.hawaii.gov, or contact the Securities Compliance Branch at (808) 586-2722 or sc@dcca.hawaii.gov for further assistance.

For additional information on business registration filings, please visit business registrations.com, or contact the Business Registration Division at (808) 586-2727 or breg@dcca.hawaii.gov for further assistance.

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JO ANN M. UCHIDA TAKEUCHI DEPUTY DIRECTOR

TY Y. NOHARA COMMISSIONER OF SECURITIES