

**DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS**  
**Compliance Resolution Fund**  
**Initiatives to Reduce Fund Balance / Align Revenues and Expenses**

PROGRAM	INITIATIVE	AUTHORITY	EFF DATE	IMPACT					Total
				Revenue					
				FY 04	FY05	FY 06	FY 07	FY 08*	
CCA-102 (CATV)	Temporarily cease collecting DCCA's portion of franchise fee from subscribers.	HAR 16-132	11/1/05-10/30/06			(237,275)	(1,613,295)	(1,521,177)	(3,371,747)
CCA-104 (DFI)	Waive exam fees and related expenses for financial institutions, escrow depositories.	HRS §412:2-105 & §449:14	FYs 04-07 and FY08 until 12/31						(1,212,000)
CCA-105 (PVL)	10% fee reduction for online renewals for programs authorized under HRS §92-28.	HRS §92-28 & §436B-15	12/31/05-6/30/07	(171,000)	(292,000)	(331,000)	(268,000)	(150,000)	(297,164)
	25% fee reduction for online renewals for programs authorized under HRS §92-28.	HRS §92-28, Act 155/07 & §436B-15	12/31/07-6/30/09			(188,140)	(109,024)		(543,909)
CCA-106 (INS)	Waive direct assessment.	HRS §431:2-215(d) & §216	FY04,FY05,FY06		-			-	(18,221,238)
	\$25 fee reduction for resident producers.	Act 122/04	as of FY05	(2,810,606)	(4,752,658)	(4,752,658)	(2,752,658)	(3,152,658)	(608,208)
CCA-111 (BREG)	25% disc for online filings/pymt by credit card; 50% disc for HI internet portal subscribers.	HRS §92-28	as of FY03		(152,049)	(145,989)	(152,595)	(157,468)	(2,000,000)
	50% fee reduction in document registrations except new bus registrations/annual filings.	Act 116/04	as of FY05	(400,000)	(400,000)	(400,000)	(400,000)		(5,600,000)
	Reduce fee for certificate of good standing.	Act 117/04	as of FY05		(1,400,000)	(1,400,000)	(1,400,000)	(1,400,000)	(280,000)
	50% fee reduction for initial filings.	HRS §92-28	as of FY05						(1,167,500)
	50% fee reduction for annual filings.	HRS §92-28	as of FY05						(2,140,500)
	50% fee reduction for salesperson renewals.	HRS §92-28	FY05		(70,000)	(70,000)	-	(70,000)	(1,375,000)
	50% fee reduction for Reg D filings.	HRS §92-28	11/1/04-10/31/06		(177,500)	(330,000)	(330,000)	(330,000)	
	50% fee reduction for franchise apps/amendments/renewals.	HRS §92-28	11/1/05-10/31/06		(295,500)	(615,000)	(615,000)	(615,000)	
	50% fee reduction for apps/renewals for dealers/salespeople, inv advisors/ reps, intial notice/renewals for investment company securities.	HRS §92-28	11/1/05-10/31/06		(30,000)	(2,526,345)	(428,725)	-	(2,985,070)
	50% fee reduction for franchise apps/amendments/renewals.	HRS §92-28	11/1/06-10/31/07						
	50% fee reduction for apps/renewals for dealers/salespeople, inv advisors/ reps, initial notice/renewals for investment company securities - Reg D filings	HRS §92-28	11/1/06-10/31/07				(2,834,916)	(20,000)	(3,054,916)
	25% fee reduction for apps/renewals for dealers/salespeople, inv advisors/ reps, initial notice/renewals for investment company securities, franchise apps/amendments/renewals.	HRS §92-28	11/1/07-10/31/08					(200,000)	(1,450,000)
								(1,450,000)	
CCA-112 (RICO)	25% compliance resolution fee reduction associated with PVL online renewals.	HRS §92-28	1/1/05-12/31/06						(908,775)
	10% compliance resolution fee reduction associated with PVL online renewals	HRS §92-28	1/1/07-12/31/08		(94,290)	(467,850)	(346,635)		(242,044)
							(85,575)	(156,469)	
<b>TOTAL</b>				(3,384,606)	(9,038,997)	(11,464,257)	(11,406,423)	(10,166,788)	(45,458,071)

\*Estimated