

WHO WE ARE: OFFICE OF THE SECURITIES COMMISSIONER

The Office of the Securities Commissioner regulates the securities industry in Hawaii and protects the community in the following ways:

WE REGULATE The Securities Compliance Branch is responsible for the registration of securities, broker-dealers and their sales agents, investment advisers and their representatives. Call us to check registration (808) 586-2722.

WE PROTECT The Securities Enforcement Branch receives and investigates complaints about investment fraud and other securities violations. We take legal action against violators of securities laws. Call us to file a complaint (808) 586-2740.

WE EDUCATE The Investor Education Program provides the public with general information on:

- How to make wise choices when investing,
- How to detect and protect investment fraud like Ponzi Schemes and Affinity Fraud,
- Investment products such as mutual funds, variable annuities, stocks, and bonds.

Call us at (808) 587-7400 for free investor education materials or to schedule a presentation.

CONTACT INFORMATION

Office Hours: 7:45 AM – 4:30 PM Hawaii Standard Time (HST). The office is open Monday-Friday except on State holidays.

Office Location:

DCCA – Office of the Securities Commissioner
King Kalakaua Building
335 Merchant Street, Rm. 203
Honolulu, HI 96813

Office Mailing Address:

DCCA – Office of the Securities Commissioner
P.O. Box 40
Honolulu, HI 96810

Telephone:

(808) 586-2722 (Securities Compliance Branch)
(808) 586-2740 (Securities Enforcement Branch)
(808) 587-7400 (Investor Education Program)

Fax: (808) 586-3977

Email: seb@dcca.hawaii.gov

To report investment fraud, call (808) 587-2267 or Toll Free 1-877-447-2267

Protecting Hawaii Investors



1-877-HI SCAMS



investing.hawaii.gov



HI Securities

