

INVESTOR EDUCATION PRESENTATIONS

1. WHO WE ARE AND HOW CAN WE HELP

This presentation is an introduction to the Department of Commerce and Consumer Affairs (DCCA), Office of the Securities Commissioner. Learn about our office, investment fraud protection tips, how to report investment fraud, where to get free investor protection materials, and more!

2. HOW TO SPOT A CON ARTIST AND SAFEGUARD YOUR INVESTMENT

If something sounds too good to be true, it probably is. This presentation will cover threats to investors, ways you can spot a con artist, ways to protect yourself from becoming a victim, and how the DCCA Office of the Securities Commissioner can help you.

3. PROTECT YOURSELF AND YOUR MONEY FROM PREDATORY TACTICS

Con artists will say or do anything to take your hard earned money away from you. This presentation will cover examples of predatory tactics, how to recognize these tactics, and how the DCCA Office of the Securities Commissioner can help you.

4. UNDERSTANDING VARIABLE ANNUITIES AND ARE THEY RIGHT FOR YOU?

Variable annuities can be complex – with different types, fees, risk factors, rate of return, etc. This presentation will cover the basics, things to be aware of, and how the DCCA Office of the Securities Commissioner can help you.

5. AVOID BEING A VICTIM: KNOW THE THREATS THAT INVESTORS FACE

Investment fraud comes in many shapes and forms. This presentation will cover various threats to Hawaii investors. From unregistered investment products and unregistered agents, misused credentials and designations, to greedy sales practices and Ponzi Schemes. Learn more about how you can avoid becoming a victim of investment fraud and how the DCCA Office of the Securities Commissioner can help you.

6. INVESTMENT FRAUD: THE PONZI SCHEME

One of the most common types of investment fraud today is the Ponzi Scheme. This presentation will cover what a Ponzi Scheme is, how it works, the losses that investors may incur, red flags to watch for, where to report Ponzi Schemes and other types of investment fraud and how the DCCA Office of the Securities Commissioner can help.

7. AFFINITY FRAUD

Affinity Fraud can happen to anyone, at any time. Affinity fraud occurs when con artists tap into community groups, family ties, clubs or other “affinities” to create a false sense of trust to lure victims into investing. This presentation will cover what Affinity Fraud is, the approach and possible targets of this type of fraud, ways to avoid the fraud, and how the DCCA Office of the Securities Commissioner can help.



1-877-HI SCAMS



investing.hawaii.gov

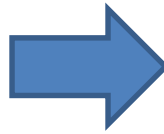


HI Securities



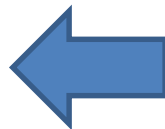
THE INVESTOR EDUCATION PROGRAM PROVIDES FREE PRESENTATIONS, MATERIALS AND COMMUNITY EXHIBITS.

**NEED A
GUEST
SPEAKER?**



- We have various topics to choose from.
- We will bring our own computer equipment.
- We provide supplemental materials and handouts.
- Presentations will be done by a member of our professional staff.

- We can provide investor education materials and giveaways relevant to the group/audience type (e.g. senior & retiree clubs, students, working adults).
- Materials cover topics such as investor education, fraud prevention and financial literacy.



**NEED AN
EXHIBITOR FOR
YOUR NEXT
COMMUNITY
EVENT?**

For more information, to schedule a presentation or community exhibit, call (808) 587-7553 or email amasuoka@dcca.hawaii.gov