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BUSINESS REGISTRATION INFORMATION RELEASE NO. 16-01

RE: TEMPORARY REDUCTION IN SECURITIES AND FRANCHISE FILING FEES

The Business Registration Division of the Department of Commerce and Consumer Affairs announces that starting January 1, 2016, certain securities and franchise filing fees will be temporarily reduced by 50% from the statutory fee for a one year period. The filing fees will automatically readjust to the statutory fee on January 1, 2017.

The following is the list of the filings affected and the new filing fees:

	STATUTORY DCCA FEE	JAN. 1, 2016- DEC. 31, 2016 REDUCED FEE	JAN. 1, 2017 AND THERE- AFTER
Dealer Application	\$200.00	\$100.00	\$200.00
Deale Renewal	\$200.00	\$100.00	\$200.00
Investment Advisor Application	\$100.00	\$50.00	\$100.00
Investment Advisor Renewal	\$100.00	\$50.00	\$100.00
Salesperson Application	\$50.00	\$25.00	\$50.00
Salesperson Renewal	\$50.00	\$25.00	\$50.00
IA Representative Application	\$50.00	\$25.00	\$50.00
IA Representative Renewal	\$50.00	\$25.00	\$50.00
Investment Co. Securities:			
Initial Filing	\$200.00	\$100.00	\$200.00
Renewal Filing	\$50.00	\$25.00	\$50.00
Franchise:			
Initial	\$250.00	\$125.00	\$250.00
Amendment	\$250.00	\$125.00	\$250.00
Renewal	\$250.00	\$125.00	\$250.00

If there are any questions, please contact the Securities Compliance Branch at (808) 586-2722.