

WHO WE ARE: OFFICE OF THE SECURITIES COMMISSIONER

The Office of the Securities Commissioner regulates the securities industries in Hawaii and protects the community in the following ways:

WE REGULATE The Compliance Branch is responsible for registration of securities, professionals and firms and examining securities firms. Call us to check if your financial adviser or agent is properly registered: (808) 586-2722.

WE PROTECT The Enforcement Branch receives and investigates complaints about investment fraud and other state securities violations. We take legal action against violators of state securities laws. Call us to report investment fraud or file a complaint: Toll Free 1-877-HI SCAMS (1-877-447-2267).

WE EDUCATE The Investor Education Program runs an extensive community outreach program for everyone from keiki to kupuna. We provide free presentations, exhibits and materials to the public on:

- How to detect financial fraud like Ponzi schemes and Affinity Fraud.
- Information on investments like mutual funds, variable annuities, stocks, and bonds.
- Where to get help.

Call us for free educational materials or to schedule a free presentation (808) 587-7400.

CONTACT INFORMATION

Office Hours: 7:45 AM – 4:30 PM (Hawaii Standard Time). The office is open Monday-Friday except on State holidays.

Office Location:

DCCA – Office of the Securities Commissioner
King Kalakaua Building
335 Merchant Street, Rm. 203
Honolulu, HI 96813

Office Mailing Address:

DCCA – Office of the Securities Commissioner
P.O. Box 40
Honolulu, HI 96810

Telephone:

(808) 586-2722 (Compliance Branch)
(808) 586-2740 (Enforcement Branch)
(808) 587-7400 (Investor Education Program)
Toll Free: 1-877-447-2267

Fax: (808) 586-3977

Email: seb@dcca.hawaii.gov

To report investment fraud, call 587-2267/Toll Free 1-877-447-2267.
“Protecting Hawaii Investors”



1-877-HI SCAMS



investing.hawaii.gov



/HI Securities

