

# WHO WE ARE: OFFICE OF THE SECURITIES COMMISSIONER

## WE REGULATE

The Compliance Branch is responsible for registration of securities professionals and firms and examining securities firms. Call us to check if your financial adviser or agent is properly registered: (808) 586-2722.

## WE PROTECT

The Enforcement Branch receives and investigates complaints about investment fraud and other securities violations. We take legal action against violators of securities laws. Call us to report investment fraud or file a complaint: Toll Free 1-877-HI SCAMS (1-877-447-2267)

## WE EDUCATE

The Investor Education Program provides the public with information on:

- How to make wise choices when investing,
- How to detect financial fraud like Ponzi schemes and Affinity Fraud,
- Information on investments like mutual funds, variable annuities, stocks, and bonds.

Call us for free educational materials, to schedule a free presentation or to request a community exhibit (808) 587-7400.

## CONTACT INFORMATION

**Office Hours:** 7:45 AM – 4:30 PM (Hawaii Standard Time). The office is open Monday-Friday except on State holidays.

### **Office Location:**

DCCA - Office of the Securities Commissioner  
King Kalakaua Building  
335 Merchant Street, Rm. 203  
Honolulu, HI 96813

### **Office Mailing Address:**

DCCA - Office of the Securities Commissioner  
P.O. Box 40  
Honolulu, HI 96810

### **Telephone:**

(808) 586-2722 (Compliance Branch)  
(808) 586-2740 (Enforcement Branch)  
(808) 587-7400 (Investor Education Program)  
Toll Free: 1-877-447-2267

**Fax:** (808) 586-3977

**Email:** [seb@dcca.hawaii.gov](mailto:seb@dcca.hawaii.gov)

***To report investment fraud, call 587-2267/Toll Free 1-877-447-2267.***  
*"Protecting Hawaii Investors"*



1-877-HI SCAMS



[investing.hawaii.gov](http://investing.hawaii.gov)



[/HISecurities](https://www.instagram.com/HISecurities)

